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# Does Dose Volume Histogram of Parotid Glands Correlate with Xerostomia Radiation Therapy Oncology Group Scores in Locoregionally Advanced Head and Neck Cancer Patients Treated with Intensity-Modulated Radiation Therapy?

Sajal Goel<sup>1</sup> Ritu Bhutani<sup>1</sup> Vivek Bansal<sup>1</sup> Ruchika Goel<sup>2</sup>

<sup>1</sup>Department of Radiation Oncology, Rajiv Gandhi Cancer Institute and Research Center, New Delhi, India

<sup>2</sup>Department of Quality, Rajiv Gandhi Cancer Institute and Research Center, New Delhi, India

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Address for correspondence Sajal Goel, DNB, Department of Radiation Oncology, Rajiv Gandhi Cancer Institute and Research Center, Sir Chotu Ram Marg, Sector 5, Rohini, New Delhi 110085, India (e-mail: drsajal.goel@gmail.com).

# Abstract

**Introduction** Xerostomia is an imminent complication of head and neck radiotherapy best assessed subjectively. This study aimed to evaluate the effects of sparing parotid glands with intensity-modulated radiation therapy (IMRT) on subjective xerostomia scores in patients with locoregionally advanced head and neck cancer.

**Subjects and Methods** This is a prospective longitudinal study conducted in an outpatient department setting. A total of 43 patients with head and neck cancer were planned with IMRT as per the ICRU 62 (International Commission on Radiation Units and Measurement Report 62). The constraints to ipsilateral and contralateral parotid glands were 35 and 25 Gy, respectively. Treatment plan was assessed for doses to 100, 67, 50, and 33% volume of individual parotid glands. Patients were subjectively assessed using the Amosson's Questionnaire and graded as per Eisbruch's xerostomia Radiation Therapy Oncology Group scores. Dose volume histogram (DVH) was plotted and correlated with grades of xerostomia postradiation at 1, 3, 6, 9 and 12 months follow-ups. Statistical analysis was performed suing SPSS version 16, chi-square test, and one-way analysis of variance test.

# Keywords

- dose volume histogram
- ► ICRU 62
- ► IMRT
- locoregionally advanced head and neck cancer
- subjective xerostomia

**Results** No statistically significant correlation between mean dose of radiation, volume of the parotid glands, and grades of xerostomia was noted postradiation. A statistically significant improvement in grades of xerostomia between 3 and 6 months (p = 0.020), 6 and 9 months (p = 0.009), 6 and 12 months (p = 0.05), and 9 and 12 months (p = 0.00) was noted. Recovery in grades was noted at 9 months.

**Conclusion** There is no statistically significant direct correlation between DVH of the parotid glands and grades of xerostomia, although recovery in grades was statistically significant at 9 months.

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Thieme Medical and Scientific Publishers Pvt. Ltd., A-12, 2nd Floor, Sector 2, Noida-201301 UP, India

# Introduction

Xerostomia is one of the most common complications of radiotherapy (RT) in patients with head and neck cancer. Xerostomia can be assessed both objectively by cannulation of ducts and subjectively with questionnaire. Subjective xerostomia does not reach a steady state even more than 5 years after RT and thus continues to influence a patient's quality of life (QOL).<sup>1-8</sup> Various studies have shown that patient-reported end points are more indicative of its true effect.<sup>9-13</sup>

It is a well-established fact that dosimetric and volumetric sparing of the parotid glands improves subjective xerostomia. In this regard, a parotid gland mean dose of  $\leq 26$  Gy should be the planning goal if functional recovery of the parotid gland is desired.<sup>14</sup> However, we would have to introspect if this was truly achievable even in patients with locoregionally advanced head and neck cancer.

This study was conducted at a tertiary cancer center in India with a primary objective to correlate dose volume histogram (DVH) of the parotid glands with xerostomia Radiation Therapy Oncology Group (RTOG) scores in locoregionally advanced head and neck cancer patients treated with intensity-modulated radiation therapy (IMRT). The secondary objectives were to compare various subjective xerostomia scores obtained postradiation with preradiation values and to study the time trends of xerostomia.

## Subjects and Methods

#### **Patient Selection**

The study was conducted between November 2008 and September 2009. A total of 43 patients with locoregionally advanced head and neck cancer (definitive and postoperative) were randomly included in the study after they signed written consent form (RGCI Ethics Committee ID: 186/RO/ VKB). The inclusion criteria were age > 18 years, either sex with Karnofsky index > 70 and squamous cell carcinomas, and those who required bilateral comprehensive irradiation to the neck if the standard field arrangements were used. The exclusion criteria were salivary gland dysfunction and a past history of trauma, malignancy, or radiation.

#### **External Beam Radiation Planning**

The patient was immobilized in an Orfit cast. An axial contrast-enhanced computed tomography (CT) scan extending craniocaudally from the vertex to the fourth dorsal vertebrae at 5-mm intervals was performed. The volumes were contoured as per the International Commission on Radiation Units and Measurement Report 62 guidelines. Delineation of gross tumor volume (GTV) was performed as per clinical findings and radiological imaging. Clinical target volume (CTV) was delineated as a high-risk volume, with a margin of 1.5 cm around the GTV, respecting the anatomical barrier. Planning target volume (PTV) was drawn with a margin of 3 mm around CTV. Low-risk CTV was defined as the low-risk volume and included uninvolved neck node levels selected on the basis of primary site and status of the neck. For the nodal levels, we followed our in house protocol based on the guidelines described by Eisbruch et al and Grégoire et al.<sup>14,15</sup> Wherever required, two or three levels of contouring were performed.

## **Dose Prescription**

The prescription dose to CTV ranged from 66 Gy to 70 Gy in 30 to 35 fractions (2 Gy per fraction). The ipsilateral (I/L) high-risk nodal region was prescribed a dose 60 Gy (1.7–1.8 Gy per fraction), whereas the contralateral (C/L) low-risk nodal region prescribed a dose of 54 to 56 Gy (1.63–1.69 Gy per fraction). In the postoperative patients, the prescribed dose ranged from 56 to 60 Gy to the CTV in 28 to 30 fractions (2 Gy per fraction), with low-risk nodal region receiving a dose of 52 to 54 Gy. The prescription dose was the dose covering 95% of PTV. All normal structures including parotid glands were delineated, and dose constraints were given. The constraints to I/L and C/L parotid glands were set at 35 and 25 Gy, respectively, as described by Amosson et al.<sup>16</sup> If dose constraints could not be met for both parotids, only the C/L parotid was spared. The IMRT plans were generated, and doses received by tumor and surrounding normal organs such as parotid, spinal cord, and oral cavity were calculated.

## **Treatment Delivery and Patient Assessment**

The external beam radiation treatment to face and neck was delivered in PRIMUS Linear Accelerator (Siemens Medical Systems, Inc., Concord, NH). Patients also received concurrent chemotherapy with intravenous cisplatin 35 mg/m<sup>2</sup> every week or biotherapy with intravenous cetuximab 400 mg/m<sup>2</sup> loading dose and 200 mg/m<sup>2</sup> every week was given if indicated. The patients were interviewed subjectively as per Amosson's Questionnaire, and responses were scored as per Eisbruch's xerostomia RTOG scores (**- Tables 1, 2**).<sup>16,17</sup> Assessment of xerostomia was performed before starting IMRT, and at 1, 3, 6, 9, and 12 months postradiation. Patients were also evaluated for any treatment-related acute, sub-acute, and chronic morbidity.

Table 1	Questionnaire	for the	assessment	of verostomia <sup>16</sup>
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Amosson's subjective questionnaire for assessment of						
	xerostomia					
1.	What is the overall comfort of the mouth?					
2.	Does your mouth feel dry when eating?					
3.	Do you have difficulty swallowing any food?					
4.	Do you need to sip liquids to swallow dry foods?					
5.	Do you feel thirsty all the time?					
6.	Do you feel the amount of saliva in your mouth is too little, too much, or adequate?					
7.	Do you have problems of speech because of a dry mouth?					
8.	Does a dry mouth interfere with your ability to sleep at all time?					
9.	Has your taste change as a result of salivary gland function?					
10.	Do you need to carry water daily?					

Table 2	Eisbruch	's grading	for xerosto	mia <sup>17</sup>
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Eisbruch's grading for xerostomia					
Grade 1	No disability				
Grade 2	Dryness requiring additional fluids for swallowing				
Grade 3	Dryness causing dietary alterations, interference with sleep, speaking, or other activities				

## **Data Analysis**

The data were analyzed using SPSS software Version 16 (IBM Corp.). DVH was correlated with xerostomia scores using the chi-square test. The patients were divided into three subgroups receiving mean doses of <30, 30 to 40, and >40 Gy to parotids. One-way analysis of variance test analyzed the data pertaining to the volume of the parotid glands irradiated, dose received by parotid glands, and the grades of xerostomia. The data were also analyzed for time trends in xerostomia. The threshold for statistical significance was a *p*-value of 0.05.

## Results

In this study, the oral cavity was the commonest subsite followed by oropharynx and hypopharynx. All patients had squamous cell carcinomas except one with sinonasal lymphoepithelioma. Around 51% patients in the whole group presented with T1/T2 lesion, and 20.9% patients each presented with T3/T4 lesions and nodal mass with unknown primary. Most of them had locally advanced disease, with stage III and IV seen in 81.4% cases. The dose to 95% PTV ranged from 55 to 69.9 Gy, with the mean dose being 61.5 Gy. The pattern of lymph nodes showed N3 disease in 2.3% patient, N2 in 46.5%, and N1 in 27.9% patients. The most common lymph nodes were upper deep cervical group (> Table 3). The mean doses to I/L and C/L nodal CTV 95 in the definitive radiation group were 60.8 and 58.5 Gy, respectively ( **Fig. 1**). The mean doses to I/L and C/L nodal CTV 95 in the postoperative group were 57.5 and 55 Gy, respectively ( > Fig. 1).

The mean doses achieved by I/L and C/L parotid gland were 36.5 Gy (range: 9.0–62.9 Gy) and 28.3 Gy (range: 6.7–39.6 Gy), respectively (**-Fig. 2**). The average volume of the parotid gland above the threshold value (35 Gy for the I/L parotid gland and 25 Gy for the C/L parotid gland) as determined from DVH was 21.1 mL in the I/L parotid gland and 23.5 mL in the C/L parotid gland (**-Figs. 2**).

No statistically significant direct correlation between mean dose and grades of xerostomia was noted postradiation at 1 month (p = 0.500 for I/L and 0.450 for C/L), 3 months (p = 0.455 for I/L 0.509 for C/L), 6 months (p = 0.762 for I/L and 0.461 for C/L), 9 months (p = 0.674 for I/L and 0.713 for C/L), and 12 months (p = 0.225 for I/L and 0.423 for C/L). No direct statistical correlation was seen between the grades of xerostomia and the volume of the parotid glands at 3 months (p = 0.343 for I/L and 0.862 for C/L), 6 months (p = 0.271 for I/L and 0.892 for C/L), 9 months (p = 0.438 for I/L and 0.773 for C/L), and 12 months (p = 0.503 for I/L and 0.523 for C/L) (**- Table 4).** The percentage of patients with xerostomia grade 2 or more at various months of follow-up is shown in **- Table 5**. Recovery in the grades of xerostomia was assessed at 3-month interval after completion of RT. A statistically significant improvement was seen between grades of xerostomia at 3 and 6 months (p = 0.00), 3 and 9 months (p = 0.020), 6 and 9 months (p = 0.009), 6 and 12 months (p = 0.05), and 9 and 12 months (p = 0.00). The time trends of grade 3 xerostomia revealed a static phase from 6 to 9 months, whereas a decrease was seen between 3 to 6 months and 9 to 12 months, with the slope of this downfall more than that at 3 months (**– Fig. 3**). Thus, significant recovery was noticed at 9 months.

Concurrent chemotherapy was delivered to 74.4% patients in the form of cisplatin and cetuximab. Eleven patients received RT alone. Minor deviation in the treatment protocol was seen in two patients who received neoadjuvant chemotherapy before chemoradiation.

All patients except one were able to complete their treatment as defined in the protocol. In the whole group, one patient was lost to follow-up after completing 25 fractions of treatment, and one patient refused for participation in the study after 3 months; overall, five patients were lost to follow-up. The median follow-up of the study was 15 months.

## Discussion

In this study, more than 80% of patients had a locoregionally advanced disease. The two radiobiological concepts of IMRT using accelerated fractionation with simultaneous integrated boost and conformal avoidance were utilized.<sup>18</sup> As the prescription dose was the dose covering 95% PTV, majority of patients received adequate radiation with whole group mean equal to 61.5 Gy.

The salivary gland questionnaire with which we evaluated patients showed a decrease in the number of patients with grade 2 or worse xerostomia over a 12-month follow-up period (**Table 5**). Overall comfort was poorest at 1 month postradiation. Most of the patients felt thirsty and had to carry water along with them. There was difficulty in speaking and disabled sleep in most of the patients but improved in few cases only. The patients in the whole group complained of decreased amount of saliva, though the number in the definitive radiation group increased between 6 and 9 months and decreased at 12 months. Similar results were seen with the change in taste, suggesting that taste depends on the saliva. These replies were in contrast with Amosson's study in which questions related to overall comfort, eating, and abnormal taste correlated significantly, and questions related to thirst, difficulty with sleep or speech, and the need to carry water daily did not correlate statistically with the dosimetric parameters of the parotid glands.<sup>16</sup>

The mean dose to the parotid glands is the most important parameter to assess for saliva production.<sup>16</sup> The mean parotid thresholds of 24 and 26 Gy for unstimulated and stimulated flow rates, respectively, showed substantial preservation of the flow rates following RT and continued to improve over time for up to 12 months duration.<sup>19</sup> The threshold doses known to cause xerostomia ranges from 26 to 39 Gy, as reported by Chao and Eisbruch et al.<sup>1.2</sup> Nearly every oncologist desires to achieve a mean dose of  $\leq$ 26 Gy to the parotid gland, as shown

Agein years         Z=58         Z=58         Z=60           Median age in years         <         <          60 y         21 (48.8)         15 (34.9)         6 (14.0)           >60 y         22 (51.2)         18 (41.9)         4 (3.3)           Sex               Male         33 (76.7)         26 (60.5)         7 (16.3)           Female         10 (23.3)         7 (16.3)         3 (7.0)           Habits           3 (7.0)         3 (7.0)           Tobacco         13 (30.2)         11 (25.6)         2 (4.7)           Both obtob         10 (23.3)         8 (18.6)         2 (4.7)           Comorbidities          2 (4.7)         2 (4.7)           None         28 (65.1)         2 (4.6)         8 (18.6)           Diabetes         2 (4.7)         2 (4.7)         2 (4.7)           Both diabetes and hypertension         5 (18.6)         7 (16.3)         1 (2.3)           Both diabetes and hypertension         5 (11.6)         3 (7.0)         3 (7.0)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)         3 (7.0)           Idloda mass         9 (20.9)         9	Characteristics	Whole group, N (%)	Definitive RT, N (%)	Adjuvant RT, N (%)
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Both tobacco and alcohol         10 (23.3)         8 (18.6)         2 (4.7)           Comorbidities         28 (65.1)         20 (46.5)         8 (18.6)           Diabetes         2 (4.7)         2 (4.7)         0           Hypertension         8 (18.6)         7 (16.3)         1 (2.3)           Both diabetes and hypertension         5 (11.6)         4 (9.3)         1 (2.3)           Complaints	Alcohol	2 (4.7)	0	2 (4.7)
Comorbidities         28 (65.1)         20 (46.5)         8 (18.6)           Diabetes         2 (4.7)         2 (4.7)         0           Hypertension         8 (18.6)         7 (16.3)         1 (2.3)           Both diabetes and hypertension         5 (11.6)         4 (9.3)         1 (2.3)           Complaints         5         1 (2.3)         1 (2.3)           Pain         10 (23.3)         6 (14.0)         4 (9.3)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)           Nodal mass         9 (20.9)         9 (20.9)         0           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         3 (7.0)         0           Investigations         3 (7.0)         3 (7.0)         0           Investigations         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (50.8)         23 (33.5)         7 (16.3)           Primary site	Both tobacco and alcohol	10 (23.3)	8 (18.6)	2 (4.7)
None         28 (65.1)         20 (46.5)         8 (18.6)           Diabetes         2 (4.7)         2 (4.7)         0           Hypertension         8 (18.6)         7 (16.3)         1 (2.3)           Both diabetes and hypertension         5 (11.6)         4 (9.3)         1 (2.3)           Complaints         7 (16.3)         4 (9.3)         3 (7.0)           Ulcer         7 (16.3)         6 (14.0)         4 (9.3)           Nodal mass         9 (20.9)         9 (20.9)         0           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         3 (7.0)         0           Investigations         3 (7.0)         3 (7.0)         0           PET scan         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (50.8)         23 (53.5)         7 (16.3)           Primary site	Comorbidities			
Diabetes         2 (4.7)         0 (4.7)           Hypertension         8 (18.6)         7 (16.3)         1 (2.3)           Both diabetes and hypertension         5 (11.6)         4 (9.3)         1 (2.3)           Complaints         4 (9.3)         1 (2.3)           Complaints         5 (10.6)         4 (9.3)         3 (7.0)           Pain         10 (23.3)         6 (14.0)         4 (9.3)         3 (7.0)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)         3 (7.0)           Nodal mass         9 (20.9)         0         0         3 (7.0)           Change in voice         4 (9.3)         3 (7.0)         0         1           Investigations         3 (7.0)         3 (7.0)         0         1           Investigations         10 (23.3)         10 (23.3)         0         1           PET scan         10 (23.3)         10 (23.3)         0         1           MRI         30 (69.8)         23 (53.5)         7 (16.3)         3 (7.0)           Primary site	None	28 (65.1)	20 (46.5)	8 (18.6)
Hypertension         8 (18.6)         7 (16.3)         1 (2.3)           Both diabetes and hypertension         5 (11.6)         4 (9.3)         1 (2.3)           Complaints         10 (23.3)         6 (14.0)         4 (9.3)           Pain         10 (23.3)         6 (14.0)         4 (9.3)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)           Nodal mass         9 (20.9)         0         0           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         3 (7.0)         0           Investigations         3 (7.0)         3 (7.0)         0           Investigations         7 (16.3)         3 (7.0)         0           PET scan         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         7 (16.3)         10 (23.3)         0           Oropharynx         8 (18.6)         8 (18.6)         0           Mypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0 <td>Diabetes</td> <td>2 (4.7)</td> <td>2 (4.7)</td> <td>0</td>	Diabetes	2 (4.7)	2 (4.7)	0
Both diabetes and hypertension         5 (11.6)         4 (9.3)         1 (2.3)           Complaints <t< td=""><td>Hypertension</td><td>8 (18.6)</td><td>7 (16.3)</td><td>1 (2.3)</td></t<>	Hypertension	8 (18.6)	7 (16.3)	1 (2.3)
Injperfersion         Index         Index         Index           Complaints         Pain         10 (23.3)         6 (14.0)         4 (9.3)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)           Nodal mass         9 (20.9)         9 (20.9)         0           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         3 (7.0)         0           Epistaxis         3 (7.0)         3 (7.0)         0           Investigations         10 (23.3)         10 (23.3)         0           CT         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         20 (53.5)         7 (16.3)           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         3 (7.0)         3 (7.0)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasopharynx         3 (7.0)         3 (7.0)         0 <td>Both diabetes and</td> <td>5 (11.6)</td> <td>4 (9.3)</td> <td>1 (2.3)</td>	Both diabetes and	5 (11.6)	4 (9.3)	1 (2.3)
Pain         10 (23.3)         6 (14.0)         4 (9.3)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)           Nodal mass         9 (20.9)         9 (20.9)         0           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         0         3 (7.0)           Change in voice         4 (9.3)         0         0           Epistaxis         3 (7.0)         3 (7.0)         0           Investigations         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         0         10 (23.3)         0           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0 <td< td=""><td>nypertension</td><td></td><td></td><td></td></td<>	nypertension			
Tum         TO (25.3)         O (14.0)         TO (25.3)           Ulcer         7 (16.3)         4 (9.3)         3 (7.0)           Nodal mass         9 (20.9)         9 (20.9)         0           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         4 (9.3)         0           Epistaxis         3 (7.0)         3 (7.0)         0           Investigations         7         10 (23.3)         0         0           CT         14 (32.6)         10 (23.3)         0         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         7         14 (32.6)         4 (9.3)         10 (23.3)           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           NuO         3 (7.0)         3 (7.0)	Pain	10 (23 3)	6 (14 0)	4 (9 3)
Order         Pr(10.5)         Pr(10.5) <t< td=""><td></td><td>7 (16 3)</td><td><i>4</i> (9 3)</td><td>3(70)</td></t<>		7 (16 3)	<i>4</i> (9 3)	3(70)
Notal Hiss         5 (20.3)         5 (20.3)         6           Growth         10 (23.3)         7 (16.3)         3 (7.0)           Change in voice         4 (9.3)         4 (9.3)         0           Epistaxis         3 (7.0)         3 (7.0)         0           Investigations         9ET scan         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         0         10 (23.3)         0           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         3 (7.0)         3 (7.0)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           MUO         3 (7.0)         3 (7.0)         0	Nodal mass	9 (20.9)	9 (20 9)	0
Growth         F(10.3)         F(10.3)         S(1.6)           Change in voice         4 (9.3)         4 (9.3)         0           Epistaxis         3 (7.0)         3 (7.0)         0           Investigations         9ET scan         10 (23.3)         0         0           CT         14 (32.6)         11 (25.6)         3 (7.0)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         0         10 (23.3)         0           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         0         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Growth	10 (23 3)	7 (16 3)	3(7.0)
Endinge in voice         1 (2.5)         1 (2.5)         0           Epistaxis         3 (7.0)         3 (7.0)         0           Investigations         9ET scan         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         0         10 (23.3)         0           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Change in voice	4 (9 3)	4 (9 3)	0
Lipstans         S (16)         S (16)         S (16)         S (16)           Investigations         PET scan         10 (23.3)         0         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         0         10 (23.3)         0           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Enistaxis	3(70)	3(70)	0
PET scan         10 (23.3)         10 (23.3)         0           CT         14 (32.6)         11 (25.6)         3 (7.0)           MRI         30 (69.8)         23 (53.5)         7 (16.3)           Primary site         0         0         0           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oral cavity         14 (32.6)         4 (9.3)         0 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Msopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Investigations	5(1.0)	5(1.0)	0
It (2007)       It (2007)       It (2007)         CT       14 (32.6)       11 (25.6)       3 (7.0)         MRI       30 (69.8)       23 (53.5)       7 (16.3)         Primary site       0ral cavity       14 (32.6)       4 (9.3)       10 (23.3)         Oral cavity       14 (32.6)       8 (18.6)       0       0         Mypopharynx       8 (18.6)       8 (18.6)       0       0         Nasopharynx       3 (7.0)       3 (7.0)       0       0         Nasal sinuses       3 (7.0)       3 (7.0)       0       0         Larynx       4 (9.3)       4 (9.3)       0       0         MUO       3 (7.0)       3 (7.0)       0       0	PFT scan	10 (23.3)	10 (23.3)	0
Intensity       Intensity       Intensity       Intensity         MRI       30 (69.8)       23 (53.5)       7 (16.3)         Primary site       0ral cavity       14 (32.6)       4 (9.3)       10 (23.3)         Oropharynx       8 (18.6)       8 (18.6)       0         Hypopharynx       8 (18.6)       8 (18.6)       0         Nasopharynx       3 (7.0)       3 (7.0)       0         Nasal sinuses       3 (7.0)       3 (7.0)       0         Larynx       4 (9.3)       4 (9.3)       0         MUO       3 (7.0)       3 (7.0)       0	CT	14 (32.6)	11 (25.6)	3(7.0)
Primary site         Product         Primary site           Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	MRI	30 (69.8)	23 (53.5)	7 (16.3)
Oral cavity         14 (32.6)         4 (9.3)         10 (23.3)           Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Primary site		()	
Oropharynx         8 (18.6)         8 (18.6)         0           Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Oral cavity	14 (32.6)	4 (9.3)	10 (23.3)
Hypopharynx         8 (18.6)         8 (18.6)         0           Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Oropharynx	8 (18.6)	8 (18.6)	0
Nasopharynx         3 (7.0)         3 (7.0)         0           Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Hypopharynx	8 (18.6)	8 (18.6)	0
Nasal sinuses         3 (7.0)         3 (7.0)         0           Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0           Histological diagnosis         3 (7.0)         3 (7.0)         0	Nasopharynx	3 (7.0)	3 (7.0)	0
Larynx         4 (9.3)         4 (9.3)         0           MUO         3 (7.0)         3 (7.0)         0	Nasal sinuses	3 (7.0)	3 (7.0)	0
MUO         3 (7.0)         3 (7.0)         0           Histological diagnosis	Larynx	4 (9.3)	4 (9.3)	0
Histological diagnosis	MUO	3 (7.0)	3 (7.0)	0
	Histological diagnosis			
Squamous 42 (97.67) 32 (74.42) 10 (23.25)	Squamous	42 (97.67)	32 (74.42)	10 (23.25)
Sinonasal 1 (2.33) 1 (2.33) 0	Sinonasal	1 (2.33)	1 (2.33)	0
Type of tumor	Type of tumor		,	,
Infiltrative 17 (39.5) 14 (32.6) 3 (7.0)	Infiltrative	17 (39.5)	14 (32.6)	3 (7.0)
Proliferative 16 (37.2) 12 (27.9) 4 (9.3)	Proliferative	16 (37.2)	12 (27.9)	4 (9.3)
Ulcerative 10 (23.3) 7 (16.3) 3 (7.0)	Ulcerative	10 (23.3)	7 (16.3)	3 (7.0)

# Table 3 Patients' characteristics

(Continued)

Characteristics	Whole group, N (%)	Definitive RT, N (%)	Adjuvant RT, N (%)		
T stage	t				
T1/T2	22 (51.2)	14 (32.6)	8 (18.6)		
T3	9 (20.9)	8 (18.6)	1 (2.3)		
T4	9 (20.9)	8 (18.6)	1 (2.3)		
Tx	3 (7.0)	3 (7.0)	0		
N stage					
N0	7 (16.3)	6 (14.0)	1 (2.3)		
N1	12 (27.9)	7 (16.3)	5 (11.6)		
N2	20 (46.5)	16 (37.2)	4 (9.3)		
N3	1 (2.3)	1 (2.3)	0		
Nx	3 (7.0)	3 (7.0)	0		
Stage					
2	5 (11.6)	4 (9.3)	1 (2.33)		
3	12 (27.9)	7 (16.3)	5 (11.63)		
4	23 (53.5)	19 (44.2)	4 (9.3)		
Stage not available	3 (7.0)	3 (6.98)	0		

## Table 3 (Continued)

Abbreviations: CT, computed tomography; MRI, magnetic resonance imaging; MUO, metastasis of unknown origin; PET, positron emission tomography; RT, radiotherapy.



Fig. 1 Doses delivered to various target volumes during radiotherapy. CL, contralateral; CTV, clinical target volume; GTV, gross tumor volume; IL, ipsilateral; PTV, planning target volume.





Fig. 2 Doses received by contoured volumes of I/L (A) and C/L (B) parotid glands. C/L, contralateral; I/L, ipsilateral.

Ipsilateral parotid gland volume vs. grades of xerostomia	N	Df	p-Value
3 mo	34	58	0.343
6 mo	28	48	0.271
9 mo	27	48	0.438
12 mo	26	46	0.503
Contralateral parotid gland volume vs. grades of xerostomia	N	Df	p-Value
3 mo	33	54	0.862
6 mo	28	48	0.892
9 mo	27	46	0.773





**Fig. 3** Time trends in xerostomia: X-axis shows the time (in months) Y-axis shows the number of patients.

Abbreviations: Df, degrees of freedom; C/L,	contralateral; I/L, ipsilateral.
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 Table 5
 Percentages of patients with >grade 2 xerostomia at various months of follow-up

Dose of radiation	ose of radiation 1 mo		3 mo		6 mo		9 mo		12 mo	
vs. >grade 2 xerostomia, N (%)	I/L PG	C/L PG	I/L PG	C/L PG	I/L PG	C/L PG	I/L PG	C/L PG	I/L PG	C/L PG
<30 Gy	8 (20)	22 (55)	7 (19.5)	18 (50)	7 (23.4)	14 (46.7)	6 (20.6)	14 (48.2)	5 (17.9)	13 (46.3)
30–40 Gy	17 (42.5)	18 (45)	14 (38.9)	17 (47.3)	10 (33.3)	15 (50)	9 (31.0)	12 (41.3)	8 (28.6)	11 (39.3)
>40 Gy	15 (37.5)	0	14 (38.9)	0	12 (40)	0	11 (37.9)	0	11 (39.2)	0

in a review of prospective phase 1 and 2 trials on parotid sparing RT.<sup>20</sup> When we looked at these trials individually, Chao et al achieved an average parotid doses of 30.02 ± 9.93 Gy (17.15-70.27) in the definitive setting and  $28.37 \pm 13.17$  Gy (1.95– 53.79) in the postoperative setting.<sup>7</sup> Eisbruch et al achieved I/L parotid mean dose of 55.2 Gy (standard deviation [SD]: 7.8) in the bilateral RT group and 48.2 Gy (SD: 14.5) in the unilateral RT group, and C/L parotid mean dose of 21.9 Gy (SD: 5.2) in the bilateral RT group and 4.1 Gy (SD: 2.8) in the unilateral RT group.<sup>21</sup> Maes et al achieved a mean dose of 49 Gy (SD: 12) to the I/L parotid gland and 21 Gy (SD: 5) to the C/L parotid gland.9 Parliament et al achieved the averages of mean dose to each parotid gland as 29.6 Gy (right; 95% confidence interval [CI]: 23.9–35.2) and 28.7 Gy (left; 95% CI: 23.2–34.2).<sup>10</sup> The average combined mean dose to parotid tissue was 30 Gy (95% CI: 26.9-33.1).<sup>10</sup> Blanco et al achieved mean doses of 31.7 ± 18.3 and 34.1 ± 19.2 Gy to left and right parotid gland at 6 months after radiation therapy.<sup>22</sup> Scrimger et al could achieve a mean dose of 18.4 Gy to the spared portion of the parotid glands, whereas the mean dose to parotid tissue was 27.1 Gy.<sup>11</sup> When we reviewed the Indian data, the average doses to I/Land C/Lglands were 44.1 Gy (SD: 12.5; 95% CI: 41.3-46.8 Gy) and 31.5 Gy (SD: 6.5; 95% CI: 30.2-32.9 Gy), respectively.<sup>23</sup> Nutting et al were able to achieve a dose of 47.6 Gy to the I/L parotid gland and 25.4 Gy to the C/L parotid gland.<sup>24</sup>

In this study, we chose to apply same practical dose constraints as used by Amosson, that is, 35 Gy to the I/L parotid gland and 25 Gy to the C/L parotid gland.<sup>16</sup> We were able to achieve mean doses of 36.8 Gy and 28.3 Gy to the I/L and C/L parotid glands, respectively. These values compared well with other authors, suggesting that even with large tumors, it was possible to achieve the prescribed dose constraints.<sup>24</sup> However, there was no direct correlation between the mean dose received by both I/L and C/L parotid glands and the grades of xerostomia at 1, 3, 6, 9, and 12 months postradiation. This stood contrary to Amosson's study but matched well with Nishimura et al.<sup>16,25</sup> When we looked into the reasons why these data showed clinical insignificance, we realized that xerostomia starts even when few grays of radiation are delivered. The parotids could not be spared from higher doses due to lymph nodal positivity in the upper deep cervical region (most of them being N2 or N2c) or tumors lying in adjacent region (naso-oropharynx). Majority of patients selected by various other scientists were of N0 or N1 stage.<sup>16</sup> The other reason for insignificance was that a small number of patients receiving higher doses were selected for the study. An effort to keep the dose to at least the C/L parotid gland to ≤26 Gy was even difficult. Both parotid glands received a mean dose of >26 Gy. The salivary function in each gland appeared to be lost exponentially at a rate of approximately 5% per 1 Gy of mean dose.<sup>22</sup> We did not compromise local control in preference to achieving mean dose to parotids. The addition of chemotherapy in more than 75% cases in this study also seems contributory to xerostomia.

The absolute volume of the parotid glands likely reflects reserve saliva output and predicts xerostomia in

parotid-sparing IMRT. The absolute volume of the I/L and C/L parotid glands in this study was between 3.2 and 45.9 mL (mean = 21.1 mL) and 3.7 and 54.7 mL (mean = 23.5 mL), respectively. Since this was a randomized nonbiased study, and the patient with smallest volume of parotid was most compliant, she was included. The study did not show a direct statistical correlation between grades and initial volume of the parotid glands. This contrasted with the findings of Nishimura et al who showed a significant correlation between the initial volume of the parotid glands and the grades of xerostomia.<sup>25</sup> Parotid gland in his study was recontoured in the boost phase of treatment, whereas we did it only once before starting external beam RT.25 During the course of IMRT, the volume of the parotid glands decreases significantly.<sup>26</sup> The parotid glands decrease in volume (0.6% per day of initial volume) and shift medially.<sup>26</sup> We maintained a good nutritional status of the patient so that shrinkage of parotid could be avoided. Wherever required, Freka's nasogastric or percutaneous endoscopic gastrostomy tube insertion was performed. During the study, we realized that parotids need to be recontoured in the fifth week of treatment. We are further evaluating changes in volume and xerostomia scores by adaptive RT in our institution.

The partial volume thresholds as given by Eisbruch et al were 67, 45, and 24% gland volume receiving more than 15, 30, and 45 Gy, respectively.<sup>19</sup> We calculated doses received by partial volumes of the parotid glands. The I/L parotid 1/3, 1/2, and 2/3 volume received a mean dose of 42.4 Gy (range: 22-68 Gy), 36.8 Gy (range: 14.5-64.9 Gy), and 30.4 Gy (range: 10.0-60.5 Gy), respectively. The C/L parotid 1/3, 1/2, and 2/3 volume received a mean dose of 33.7 Gy (range: 8.0-48.7 Gy), 28.8 Gy (range: 6-41 Gy), and 23.3 Gy (range: 4.5–37 Gy), respectively. Even the partial volume thresholds were statistically insignificant. The full (3/3) volume of the I/L and C/L parotid glands received a median dose of 19.5 Gy (range: 2.6–46.3 Gy) and 15.2 Gy (range: 1.9–36.4 Gy), respectively. Thus, it is difficult in locally advanced tumors to volumetrically spare the parotid gland especially if the disease or lymph node is lying ipsilaterally. It is said that more than 50% of the parotid glands have to be outside the radiation field to prevent severe dryness; irradiation of submandibular and sublingual glands had only a minor effect.<sup>27</sup> However, we included patients in whom parotids were lying in radiation portals.

The parotid salivary function continues to improve between 6 and 12 months after radiation, as shown by Blanco et al.<sup>22</sup> A phase 3 multicenter randomized controlled trial (PARSPORT) compared patients treated with IMRT with those treated with conventional radiation. At 12 and 24 months, grade 2 or worse xerostomia was significantly lower in the IMRT group; there was significant recovery in saliva secretion and clinically significant improvements in dry-mouth-specific and global QOL scores.<sup>24</sup> Similarly, in our study, recovery in grade 2 or worse xerostomia score was significantly evident around 9 months postradiation, suggesting that maximum recovery occurred within this time period (**-Table 5**).

# Conclusion

There is no direct statistically significant correlation between the DVH of the parotid glands and the grades of xerostomia, although statistically evident recovery in grades was seen at 9 months. The study assumed significance when a small number of locoregionally advanced cases of head and neck cancer were selected for the study. The results might appear contrary to the well-established beliefs; in a parallel organ such as parotid, the severity and grades of xerostomia are inversely proportional to the amount of parotid spared by radiation.

We also felt that the change in dosimetric parameters for parotid gland might not be sufficiently accurate when only preradiation CT scan was used for planning.<sup>28</sup> As GTV decreased significantly during fractionated RT, serial imaging and sequential IMRT boost planning might be necessary. Sequential IMRT boost might adequately spare the parotid gland, thereby reducing the mean dose, but it was an expensive and time-consuming strategy.<sup>29</sup>

Based on our observations, we acknowledged the following few facts:

- Xerostomia gets aggravated because of a complex interplay of subjective, objective, and psychosocial factors.
- Subjective questionnaires assessed xerostomia better.
- IMRT in head and neck can be exploited to decrease parotid dose but not at the cost of good local control.
- Adaptive RT offers some practical solution.

The study had its limitation in the form of delay in publication. The data were variously assessed for its importance. This was conducted at a time when IMRT was gaining strong foothold in an established tertiary care center.

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#### **Conflict of Interest**

None declared.

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